

Interim Options Review

Sub Group 2

September 2010



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Executive Summary

This document provides an initial descriptive summary of the different options identified by the ERA as potential technical solutions to Interim Interoperability arrangements.

In addition to the summary of options there is an accompanying high level Strengths, Weaknesses, Opportunities & Threats (SWOT) analysis for each individual option. This is by no means an exhaustive list but provides a ScottishPower initial view of the various options.

The document is concluded with an high level review of the governance arrangements discussed during the SG2 initial meeting.

Introduction

The following sections describe a number of potential models for the implementation of an interim interoperability solution for smart meters. The following models have been considered:

- Central translation services (Supplier owned head ends)
- Standardised head end services
- Universal Consolidated head end (Interim service owned head ends)
- Suppliers customise interfaces for each head end
- Suppliers provide data services
- Gaining Supplier configures meter on CoS (exchange of SIM)Head End on CoS

Further options have been identified which would allow for variations in the ownership and control of head ends and in the transition to the interim solution have been identified but not evaluated at this time. These models (the models above?) allow for variations in the ownership and control of head ends and in the transition to the interim solution—e.g. Suppliers could continue to use their existing smart infrastructure until CoS, at which point the meter and communications responsibility would be transferred to the interim solution.

The following themes are common to all the potential models

- The interim solution demands resource commitment from suppliers potentially at expense of the implementation of the industry programme;
- Enduring security and data privacy principles need to be embedded within the interim
 design, which may result in significant costs;
- Mechanisms for obtaining accurate and up to date registration data from IGTs need to be confirmed;
- Unclear if the t_Timescales for interim solutions do not lend support to the early development of a common approach to PPM;



- The Interim service is expected to have a short life but will require significant costs resources to establish and therefore must be delivered at a commercially viable cost;
- -Interim solution may have to continue to run in parallel with DCC solution to support noncompliant smart meters and non-domestic customers.

Option 1 - Central Translation

Description

Suppliers retain ownership of Head Ends.

Pre initial Change of Supply the Prior to implementation of the interim solution the installing Supplier would manages the meter through its own requests through its own-Head End. i.e. Direct access. Once the interim solution has been established there would be potentially two ways that this model could operate:

The installing/lead supplier could continue to manage technically compliant meters through its own Head End until CoS, at which point support for the meter is transferred to the

Support for all technically compliant meters is transferred into the Interim solution from the point of implementation ... Its this necessary or is there a migration option for all, except non-compliant meters? I think both options would work, just migration at start of interim may be complex. There is a requirement which requires both suppliers to use same read at CoS, this would indicate that meters may already be pre-registered in Interim]]

Meter point and Meter Id isare passed to the interim service on install and other information is transferred on CoS, or all of the information is migrated to the Interim service at start up.

[Or migrated at start of Interim service?]. Once a meter is managed through the interim service it remains so until the DCC is operational.

Interim Services – pre initial CoS

- Data Management
 - Interim Service holds installed Smart Meter details and provides this information to current and prospective suppliers via a lookup service.

Provides smart meter lookup [Look up to where?]

Interim Service - post initial CoS/migration

Single Point of Access to meter through interim service

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- Translation services for a defined set of meter interactions.
 - Web service requests to Head End specific formats
 - o Head End specific formats to web service response
 - DTN/Uklink to HE specific formats
 - HE Specific format to DTN/UKLink
- Access Control
 - Determines who the registered Supplier is and restricts access
- Data Management
 - Interim Service holds installed Smart Meter details and provides this information to current and prospective suppliers via a lookup service. Also Provides smart meter lookup [Look up to where?]
 - Eenables identification of Head end and comms requirements
 - Identifies the Head End owner to facilitate communications Enables-charging and fault resolution

by identification of lead Supplier [Should this be Owner of Head end rather than Supplier?]

Other Interactions

- Supplier to Interim Service
 - DTnN/Uk linkIX and/or web services
- Interim Service to Head End
 - HE Specific messages/protocols defined by HE provider HE specific messages (Message protocols defined by HE provider)

Charging Options

- Suppliers transfer comms contracts for meter points over-to the interim service on CoS or on establishment of Interim service. The Interim service charges Suppliers for subsequent usage.
- Interim service charges end users, lead Supplier charges interim service provider.
- Bi-lateral arrangements between Suppliers.
- Where the interim service is used for meter communications from the point of meter installation, the interim service charges Suppliers for communications usage.

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

Suppliers procure their own Head End systems, either collectively or individually.

A separate, central system (Interim Service) provides access to:

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- Smart Metered Supply Points
- Supplier registration details
- Head End providers (per Supply Point)
- Meter Ids
- Meter Capabilities

The interim service includes a lookup facility for this information, controls access to authorised parties, and directs information to the correct Head-End and Supplier.

The interim service translates standard Supplier requests into head end specific messages.

Options exist for all of the head ends which will be used by the interim service to be owned by multiple parties (e.g. Suppliers) or by the interim service.



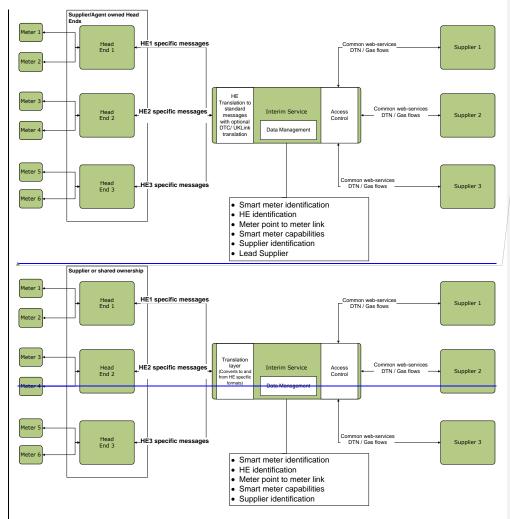


Figure 1: Central Translation



SWOT

Strengths

- Single interface for suppliers will enable communication with all smart meters reduces
 Supplier development effort
- Single point of access to all smart meters, ensures only authorised parties can communicate with meters- nNo exchange of access details at CoS
- Allows suppliers to use existing communications infrastructure
- Allows suppliers to use existing dataflow infrastructure
- Provides option to use web-services to enable full functionality
- Uses proven head end technology and capabilities
- Converts messages into required format for meters/suppliers no requirement for suppliers to build/procure their own translation services
- Single repository for all smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point
- Will support key processes required by Suppliers
- Facilitates interoperability at CoS without changes to the meter hardware (meter, SIM card, etc);
- No dependency on other Suppliers

Weaknesses

- Use of multiple head ends and establishment of central service requires significant development effort for central service interfaces - adds to implementation complexity and cost
- Changes required to use and/or structure of existing flows and or web services need to be
 created to support even basic smart functionality for Suppliers meter configuration,
 provision of pricing information etc. Over and above that provided by initial deployments
- Migration activity required prior to establishment of central service in order to populate meter and comms data
- Multiple head end ownership will require complex commercial and support agreementsdefinition of these will potentially add to time required to implement central service
- Compliance/accreditation testing required to allow central service to use DTN/<u>UKLink-IX</u> etc. potentially adds to implementation time
- Potential for extended implementation time- tender process for provision of central services, creation of central systems, look-ups to registration systems, full end-to-end testing required for all participants
- Meters populated within the Interim Service do not change Head End system at Change of Supplier. Suppliers that own a Head End system within the Interim Service may not centralise all of their meters (installed meters where they are the lead supplier and gained meters at CoS) within a single Head End. Does not allow suppliers to use existing head-end systems for gained sites - Suppliers must migrate to use interim service [Why not?]
- Comms contracts would-may need to be transferred from suppliers/service providers to central service —dependent on ownership





Opportunities

- Allows industry to test/hone operations using central service provider for smart meters
- <u>Creates a Single-single</u> source of data held in standard form<u>at that may be transitioned</u> available for transition to the DCC;
- Could provide early definition of standard messaging and translation services pre-DCC;
- Potentially allows for some premise alignment and improvement in data quality prior to creation of DCC;
- Opportunity for the Interim Service to include a translation layer, to translate Head-End messages into standard DTC / <u>UK Link!X</u> data flows;
- Opportunity for the Interim Service to forward information/messages to Distribution operators or third parties in a standard format (e.g. Supply Outages, Alarms, Tamper alerts)
- Provides opportunity to establish end-to-end security standards for smart meters;
- Provides opportunity to implement data privacy standards, all smart meter data and access to it obtained/held in single source;
- <u>Creates a standardised registration lookup facility that could Standardisation of registration look-up could-be used by initial DCC Existing systems (e.g. MPAS/ECOES, xoserve/SCGOGES) could be used with changes to identify smart meters;</u>
- Suppliers can choose the services they wish to support

•—

Threats

- Could be regarded as de_-facto DCC_- and no further industry development takes place;
- May provide <u>the owner of the interim</u>, central service with competitive advantage in DCC tendering process;
- Distributed ownership of head ends makes establishment of data privacy and end-to-end security standards difficult to establish;
- New services/processes would need to be defined to allow suppliers to fulfil mandated interim obligations;
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also any non-domestic meters supported by service and suppliers elect not to use DCC
- Support for prepayment unclear The design Dodoes not lend itself to standardised Industry Prepayment solution—Prepayment solution requires specific supplier, payment network, head end and meter functionality. The use of different head-end systems may complicate (or even prevent) the implementation of a standard industry solution.

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Option 2 - Standardise Head End Services

Description

Suppliers retain ownership of Head Ends.

Prior to implementation of the interim solution the installing Supplier would manage the meter through its own Head End. i.e. Direct access. Once the interim solution has been established there would be potentially two ways that this model could operate:

- The installing/lead supplier could continue to manage technically compliant meters through its own Head End until CoS, at which point support for the meter is transferred to the Interim Solution
- Support for all technically compliant meters is transferred into the interim solution from the point of implementation

Meter point and Meter Id are passed to the interim service on install and other information is transferred on CoS, or all of the information is migrated to the Interim service at start up.

Once a meter is managed through the interim service it remains so until the DCC is operational.

Suppliers/Agent retain ownership of Head Ends or .

<u>Pre initial Change of Supply the installing Supplier manages requests through its own Head End i.e.</u>

<u>Direct access.</u>

Meter point and Meter Id is passed to the interim service on install other information is transferred on CoS [Again this is an option and has not been decided]. Once a meter is managed through the interim service it remains so until the DCC is operational.

Interim Services - pPre initial CoS

Data Management

<u>Interim Service holds installed Smart Meter details and provides this information to current and prospective suppliers via a lookup service.</u>

Provides smart meter lookup

Interim Service - Post initial CoS/Migration

- Single Point of Access to meter provided throughvia the interim service
- Translation services for a defined set of meter interactions
 - Web service requests to standardised Head End services (adopted and supported by all Head End providers using a common message format) standardised Head End formats [Need to define standardised head end formats same standard to be used by all head end manufacturers]
 - Standard Head End formatsservices to web service response
 - DTN/Uklink to standard HE formatsservices

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- Standard HE formatservices to DTN/UKLink
- Access Control
 - o Determines who the registered Supplier is and restricts access
- Data Management
 - —<u>Interim Service holds installed Smart Meter details and provides this information to current and prospective suppliers via a lookup service. Provides smart meter lookup</u>
 - o Enables identification of Head end and comms requirements
 - Enables charging and fault resolution by identification of lead Supplier

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Key Interactions

- Supplier to Interim Service
 - o DTnN/Uk linkIX and/or web services
- Interim Service to Head End
 - Standard HE specific messages/protocols defined by HE providers

Charging Options

- Suppliers transfer comms contract for meter point over to interim service on CoS or on commencement of Interim service. Interim service charges Suppliers for subsequent usage.
- Interim service charges end users, lead Supplier/Head End owner charges linterim service provider.
- Bi-lateral arrangements between Suppliers.
- Where the interim service is used for meter communications from the point of meter installation, the interim service charges Suppliers for communications usage.

Meter manufactures develop and provide an standard interface to head end.

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

Suppliers procure their own Head End systems, either collectively or individually.

A separate, central system (Interim Service) provides access to:

- Smart Metered Supply Points
- Supplier registration details
- Head End providers (per Supply Point)
- Meter Ids
- Meter Capabilities

The interim service includes a lookup facility for this information, controls access to authorised parties, and directs information to the correct Head-End and Supplier.

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Smart Interim Options

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Communications between the interim service and the Head Ends (or the Supplier and Head End) uses standardised messages / interfaces.

Communications between each Head-End and Meter may use meter manufacturer specific messages.

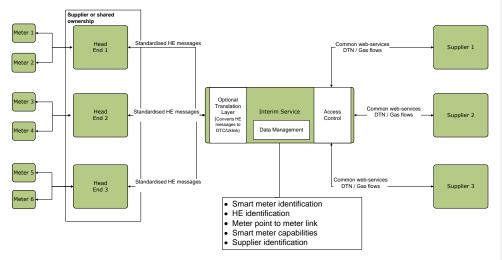


Figure 2: Standardise Head End Services



SWOT

Strengths

- Reduced development effort and costs for interim service providers as meter;
 manufacturersd standardise head end services. Only need to support one set of HE services;
- Single interface for suppliers will enable communication with all smart meters reduces
 Supplier development effort;
- Single point of access to all smart meters, ensures only authorised parties can communicate with meters - No exchange of access details at CoS;
- <u>Depending on operational model adopted will potentially Aallows</u> suppliers to use existing communications infrastructure <u>prior to initial CoS; [define]</u>
- Allows suppliers to use existing industry -dataflows infrastructure;
- Provides option to use web-services to enable full functionality;
- Converts messages into required format for meters/suppliers no requirement for suppliers to build/procure their own translation services;
- Single repository for all smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point;
- Will support key processes required by Suppliers;
- Facilitates interoperability at CoS without changes to the meter hardware (meter, SIM card, etc);
- No dependency on other Suppliers [define]

Weaknesses

- Dependency on meter manufacturers to work together and develop standard head end services in unison within short time-scale.
- Requires Head End system development to support standardised services and message formats Uses unproven head end technology and capabilities [Why]
- Changes required to use and/or structure of existing flows and or web services need to be created to support even basic smart functionality for Suppliers (e.g. meter configuration, provision of pricing information etc.) of ever and above that provided by initial deployments
- Multiple head end ownership will require complex commercial and support agreementsdefinition of these will potentially add to time required to implement central service
- Migration activity required prior to establishment of central service in order to populate meter and comms data
- Compliance/accreditation testing required to allow central service to use DTN/UKLink etc. if not already an accredited body – potentially adds to implementation time
- Potential for extended implementation time- tender process for provision of central services, creation of central systems, look-ups to registration systems, full end-to-end testing required for all participants
- Potential duplication of costs if Suppliers required to support technically compliant meters
 using their own Head Ends (Direct Access) and any gained/regained meters can only be
 communicated with via the Interim service. Post Cos Does not allow suppliers to use existing
 head-end systems Suppliers must migrate to use interim service. [Why is this a weakness?]

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[Discuss when meters are migrated to the Interim Service and any duplication of cost associated with managing meters using Supplier Owned Head Ends (Direct Access) and the Interim Service in unison]

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• Comms contracts would need to be transferred from suppliers/service providers to central service - dependent on ownership



Opportunities

- Allows industry to test/hone operations using central service provider for smart meters;
- Single source of data held in standard form available for transition to DCC;
- Potentially allows for some premise alignment and improvement in data quality prior to creation of DCC;
- Opportunity for the Interim <u>sService</u> to include a translation layer, to translate Head-End messages into standard DT<u>CN</u>-/<u>UK LinklX</u> data flows;
- Could provide definition of standard messaging and translation services pre-DCC
- Opportunity for the Interim Service to forward information/messages to Distribution operators or third parties in a standard format (e.g. Supply Outages, Alarms, Tamper alerts)
- Provides opportunity to establish end-to-end security standards for smart meters
- Provides opportunity to implement data privacy standards, all smart meter data and access to it obtained/held in single source;
- Standardisation of registration look-up could be used by initial DCC -existing systems (e.g. <u>MPAS/ECOES</u>, <u>xoserve/SCGOGES</u>) could be used with changes to identify smart meters;
- Suppliers can choose the services they wish to support

Threats

- Could be regarded as de facto DCC and _no further industry development takes place;
- May provide owner of <u>the interim</u> central service with competitive advantage in DCC tendering process;
- Distributed ownership of head ends makes establishment of data privacy and end-to-end security standards difficult to establish;
- New services/processes would need to be defined to allow suppliers to fulfil mandated interim obligations-;
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also <u>if</u> any non-domestic meters <u>are</u> supported by <u>the Interim</u> service and suppliers elect not to use DCC <u>for those meters</u>;
- Does not lend itself to standardised Industry Prepayment solution—Prepayment solution
 requires specific supplier, payment network, head end and meter functionality. The use of
 different head-end systems may complicate (or even prevent) the implementation of a
 standard industry solution.;
 - Support for prepayment unclear Prepayment solution requires specific supplier,
 payment network, head end and meter functionality. The use of different head-end systems
 may complicate (or even prevent) the implementation of a standard industry solution;

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Option 3 - Single Consolidated Head End with Common Services

Description

Interim service owns single or multiple consolidated Head Ends.

The option exists for the interim service to supersede Suppliers own arrangements on implementation. This would mean that thethe linterim service would need to accommodate meter installations. [Interim_will haveneed to accommodate meter installs as part of requirements to support Ofgem rollout targets.]

Interim Service

- Single Point of Access to meter through interim service
- Translation services for a defined set of meter interactions
 - Web service requests to Head End specific formats
 - Head End specific formats to web service response
 - o DTN/Uklink to HE specific formats
 - HE Specific format to DTN/UKLink
- Access Control
 - o Determines who the registered Supplier is and restricts access
- Data Management
 - Provides smart meter lookup [where to?] for Suppliers to identify Smart meters prior
 to taking over supply
 - o Enables identification of Head end and comms requirements
 - o Enables charging and fault resolution by identification of lead Supplier

Other Interactions

- Supplier to Interim Service
 - DTNn/Uk linkIX or web services
- Interim Service to Head End
 - HE specific messages/protocols as defined by HE manufacturers

Charging Options

• Interim Service provider is responsible for charging users and holds comms contracts.

A universal head end is used by the interim service to communicate with all smart meters.

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

A separate, central system (Interim Service) provides access to:

- Smart Metered Supply Points
- Supplier registration details

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- Comms providers (per Supply Point)
- --- Meter Ids
- Meter Capabilities

The interim service includes a lookup facility for this information, controls access to authorised parties, and directs information to the correct Head-End and Supplier.

The interim service translates standard Supplier requests into a universal head end protocol.

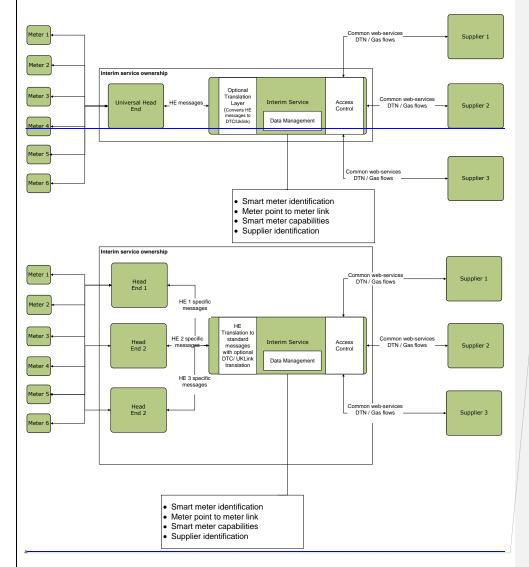


Figure 3: Single-Consolidated Head Ends with Common Services

Field Code Changed





SWOT

Strengths

- Consolidated head end provided by interim service simplifies commercial and support agreements;
- Single interface for suppliers will enable communication with all smart meters reduces
 Supplier development effort;
- Reduced development effort and costs for interim service providers as universal head end provides standardised interface to -meters. Only need to support one set of HE services;
- Universal head end provided by Consolidated interim service simplifies commercial and support agreements;
- Single point of access to all smart meters, ensures only authorised parties can communicate with meters- <u>n</u>No exchange of access details at CoS;
- Allows suppliers to use existing communications infrastructure
- Allows suppliers to use existing dataflow infrastructure;
- Provides option to use web-services to enable full functionality;
- Converts messages into required format for meters/suppliers no requirement for suppliers to build/procure their own translation services;
- Single repository for all smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point;
- Will support key processes with minimum change required by Suppliers to generate/send appropriate flows to central service;
- Facilitates interoperability at CoS without changes to the meter hardware (meter, SIM card, etc);
- No dependency on other Suppliers;

Weaknesses

- Uses unproven head end technology and capabilities potential for increased development time.
- Changes required to use and/or structure of existing flows and/or web services need to be
 created to support even basic smart functionality for Suppliers (oQver and above that
 provided by initial deployments) meter configuration, provision of pricing information etc.;
 Over and above that provided by initial deployments
- Migration activity required prior to establishment of central service in order to populate meter and comms data;
- Compliance/accreditation testing required to allow central service to use DTN/<u>UKLink-IX</u> etc.
 if not already an accredited body potentially adds to implementation time;
- Potential for extended implementation time- tender process for provision of central services, creation of central systems, look-ups to registration systems, full end-to-end testing required for all participants;
- Does not allow suppliers to use existing head-end systems <u>s</u>Suppliers must migrate to interim service <u>for all compliant meters</u>;



 Comms contracts would need to be transferred from suppliers/service providers to central service dependent on ownership

Opportunities

- Allows industry to test/hone operations using central service provider for smart meters;
- Single source of data held in standard form available for transition to DCC;
- Potentially allows for some premise alignment and improvement in data quality prior to creation of DCC:
- Reduced development effort and costs for interim service providers if universal head end
 can be used to provide interface to meters;
- Could provide definition of standard messaging and translation services pre-DCC;
- Opportunity for the Interim <u>sService</u> to include a translation layer, to translate Head-End messages into standard DT<u>NC-/-UK LinklX</u> data flows;
- Opportunity for the Interim Service to forward information/messages to Distribution operators or third parties in a standard format (e.g. Supply Outages, Alarms, Tamper alerts);
- Simplifies establishment of -end-to-end security standards for smart meters;
- Provides opportunity to implement data privacy standards, all smart meter data and access to it obtained/held in single source;
- Standardisation of registration look-up could be used by initial DCC <u>e</u>Existing systems (e.g. <u>MPAS/ECOES</u>, <u>xoserve/SCGOGES</u>) could be used with changes to identify smart meters;
- Suppliers can choose the services they wish to support

Threats

- Dependency on single head end provider
- Single head end could limit network capacity/capability
- Time to add new meter protocols to single head end could delay rollout of new meter types
- New services/processes would need to be defined to allow suppliers to fulfil mandated interim obligations;
- Could be regarded as de facto DCC and no further industry development takes place;
- May provide owner of <u>central Interim</u> service with competitive advantage in DCC tendering process;
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also any non-domestic meters <u>are</u> supported by <u>the Interim</u> service and suppliers elect not to use DCC <u>for those meters</u>;
- Time to provide support for new meter protocols to interim service could delay rollout of new meter types;
- Does not lend itself to standardised Industry Prepayment solution—Prepayment solution
 requires specific supplier, payment network, head end and meter functionality. The use of
 different head-end systems may complicate (or even prevent) the implementation of a
 standard industry solution;



Support for prepayment unclear – Prepayment solution requires specific supplier, payment
network, head end and meter functionality. The use of different head end systems may
complicate (or even prevent) the implementation of a standard industry solution;



Option 4 - Customise Interfaces for each Head End

Description

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

Suppliers develop their own Head End translation services..

A separate, central system (Interim Service) provides access to:

- Smart Metered Supply Points
- Supplier registration details
- Head End provider
- Meter Id
- Meter Capabilities

This system provides a lookup facility for this information and limits access to the Smart Metering System to authorised parties.

The interim service provides access control services but does not provide translation services

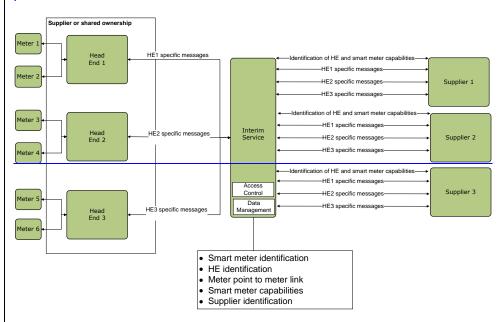


Figure 4: Customise Interfaces for each Head End



SWOT

Strengths

- Single point of access to all smart meters, ensures only authorised parties can communicate with meters- No exchange of access details at CoS
- May allow suppliers to use existing head-end protocols developed pre interim service
- Allows suppliers to use existing communications infrastructure
- Provides option to use web-services to enable full functionality
- Uses proven head end technology and capabilities
- Converts messages into required format for meters/suppliers no requirement for suppliers to build/procure their own translation services
- Single repository for all smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point
- Will support key processes with minimum change
- Facilitates interoperability at CoS without changes to the meter hardware (meter, SIM card, etc):
- No dependency on other Suppliers

Weaknesses

- Suppliers do not have a single defined interface for communicating with all Smart meters
 this requires significant additional development effort
- New web services need to be created to support even basic smart functionality meter configuration, provision of pricing information etc. Over and above that provided by initial deployments
- Multiple head end ownership will require complex commercial and support agreementsdefinition of these will potentially add to time required to implement central service
- Migration activity required prior to establishment of central service
- Potential for extended implementation time-tender process for provision of central services, creation of central systems, look-ups to registration systems, full end-to-end testing required for all participants
- Supplier development effort made redundant by DCC establishment
- Does not allow suppliers to use existing head-end systems Suppliers must migrate to interim service
- Comms contracts would need to be transferred from suppliers/service providers to central service - dependent on ownership

Opportunities

- Single source of data held in standard form available for transition to DCC
- Potentially allows for some premise alignment and improvement in data quality prior to creation of DCC
- Standardisation of registration look-up could be used by initial DCC Existing systems (e.g. ECOES, SGOES) could be used with changes to identify smart meters



Suppliers can choose the services they wish to support

Threats

- Distributed ownership and multiple head end protocols makes establishment of data privacy and end-to-end security standards difficult to establish
- New services/processes would need to be defined to allow suppliers to fulfil mandated interim obligations
- May provide owner of central service with competitive advantage in DCC tendering process
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also any non-domestic meters supported by service and suppliers elect not to use DCC
- Support for prepayment unclear Prepayment solution requires specific supplier, payment network, head end and meter functionality. The use of different head-end systems may complicate (or even prevent) the implementation of a standard industry solution;



Option 5 - Suppliers Provide Data Services

Description

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

The installing Supplier provides <u>reads-services</u> on request to the current Supplier. The responsibility for access control lies with the installing Supplier.

A separate, central system (Interim Service) provides access todetails of:

- Smart Metered Supply Points
- Head End manager
- Meter Id

This system provides a lookup facility for this information and limits access to the Smart Metering data to authorised parties. **F**The interim service may **provide**require access to registration data.

This model is restricted to the provision of scheduled and on-demand reads.

Interim Service

- Data Management
 - o Provides smart meter lookup
 - Enables identification of lead Supplier

Installing Supplier

- Single Point of Access to meter through installing Supplier
- Potential translation services
 - Web service requests to Head End specific formats
 - Head End specific formats to web service response
 - o DTN/UklinkIX to HE specific formats
 - HE Specific format to DTN/UKLinkIX
- Access Control
 - o Determines who the registered Supplier is and restricts access

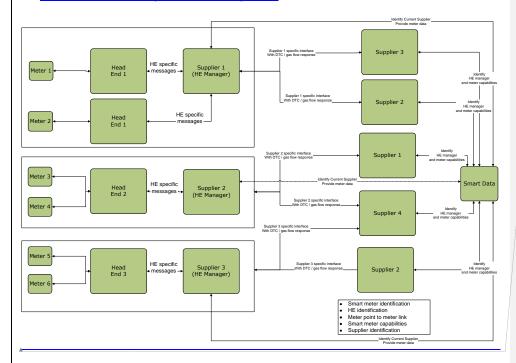
Other Interactions

- Supplier to Interim Service
 - DTnN/Uk linkIX or web services identify whether a meter is smart and who the lead
 Supplier is
- Installing Supplier to Head End
 - HE specific messages/protocols defined by HE manufacturer

Charging Options



- Bi-lateral arrangements between Suppliers.
- Interim service charges for data management.



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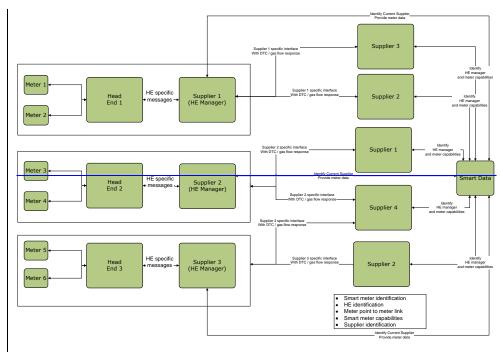


Figure 45: Suppliers Provide Data Services

SWOT

Strengths

- Allows suppliers to use existing communications infrastructure;
- Allows suppliers to use existing dataflow infrastructure;
- Uses proven head end technology and capabilities;
- Converts messages into required format for meters/suppliers no requirement for suppliers to build/procure their own translation services;
- Single repository for some smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point:
- Facilitates very-limited interoperability at CoS without changes to the meter hardware (meter, SIM card, etc);
- No translation services provided by interim service limits central development effort;
- Install process within control of Supplier no dependency on interim service provider;
- Interim service provides smart data repository only limits central development effort;

Weaknesses

- Only basic data provision (e.g. reads) continues seamlessly post CoS
- —Service provision may vary between Suppliers. -



- Minimal change option is restricted to basic data provision (e.g. reads) with no mandated No support for meter configuration requests etc.;-
- Suppliers have to build multiple interfaces for different head ends;
- Individual Suppliers provide access control dependency on HE manager having access to registration data;-
- Data held in the smart data repository does not provide basis for DCC transition;
- New interfaces required to smart data repository;
- Supplier -development effort to implement this model made redundant by DCC establishment;

Opportunities

Standardisation of registration look-up could be used by initial DCC - <u>e</u>Existing systems (e.g. <u>MPAS/</u>ECOES, <u>xoserve/SGOESSCOGES</u>) could be used with changes to identify smart meters;

Threats

- Restricted use of smart services/capabilities_may result in negative consumer perception of smart metering
- Distributed ownership <u>and operation</u> of head ends makes establishment of data privacy and end-to-end security standards difficult to establish
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also any non-domestic meters <u>are</u> supported by <u>the Interim</u> service and suppliers elect not to use DCC for those meters;
- No support for prepayment
- Access to a customer's meter provided only through competitor systems
- Suppliers may adopt inconsistent approach to access control
- <u>Current Registered Supplier dependent on competitor to ensure customer data privacy and security</u>



Option 6 - Supplier Configures Meter/HE on CoS

Description

Suppliers perform their own rollouts of Smart Meters and WAN Communications Devices.

Suppliers make requests direct to the meter without going through the a interim servicethird party. Comms and security details are made available to a gaining Supplier on Change of Supply. This data could be made available through either a direct Supplier to Supplier exchange of access, comms, meter details and CoS read or by the losing supplier transferring those details directly to the Interim service on CoS date. Mandated provision of this data would require changes to the rules governing CoS to place the obligation on the losing supplier to provide this data.

Suppliers use their own infrastructure and comms arrangements.

SIM cards may need to be exchanged following Change of Supply to enable the gaining Supplier to communicate with the meter.

Suppliers develop their own Head End translation services.

The Interim Service provides access to:

- Smart Metered Supply Points
- Head End manager
- Meter Id

This system provides a lookup facility for this information and limits access to the Smart Metering data to authorised parties. The interim service may provide access to registration data. [Does it even need to do this or could we just make changes to existing registrations systems?]

[Would prefer that on CoS losing suppliers sends access, comms and meter details to gaining supplier, potentially with file containing CoS read. This would require a change to CoS rules to place responsibility with losing supplier for the provision of reads etc. Pretty sure BG would support this, however what is impact on our systems?]

Interim Service

- Data Management
 - Provides smart meter lookup
 - o Enables identification of Supplier
 - Receives smart meter comms and security data from losing Supplier
 - o Makes available smart meter comms and security data to the gaining Supplier
- Access Control
 - Restricts access to smart meter data to the registered Supplier

Gaining Supplier

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Smart Interim Options



- Configures its head end provision to support smart meter
- Supplier has direct access to meter

Other Interactions

- Suppliers to Interim Service
 - Web services
- Supplier to Head End
 - HE specific messages

Charging Options

• Interim service charges for data management.

A separate, central system (Interim Service) provides access to :

- Smart Metered Supply Points
- Supplier (per Supply Point)
- <u> Meter Id</u>
- Meter Capabilities
- ----Meter Comms details
- Meter Access details (e.g keys/certificates)

This system provides a lookup facility for this information and limits access to the Smart Metering data to authorised parties.

The interim service provides access control services but does not provide translation services.



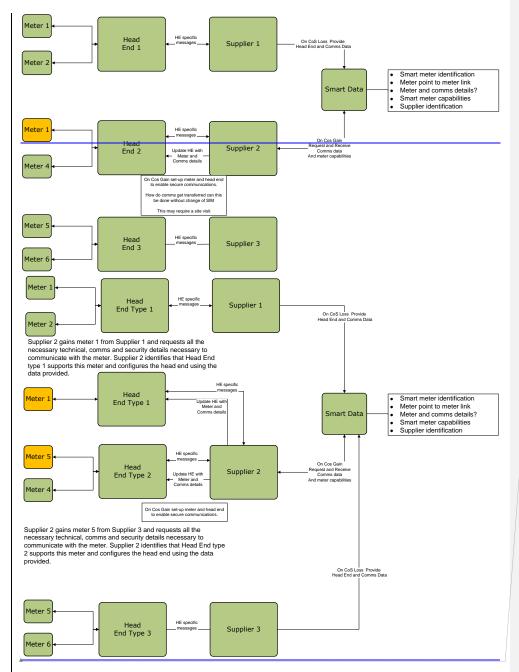


Figure 56: Supplier Configures Meter/HE on CoS

SWOT

Smart Interim Options

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Field Code Changed



Strengths

- Allows Suppliers to use their existing smart metering infrastructures;
- Uses proven head end technology and capabilities;
- Single repository for all smart meter data provides opportunity for suppliers to be able to identify presence of smart meter prior to taking over supply point;
- No translation services provided by interim service limits central development effort;
- Install process within control of Supplier no dependency on interim service provider;
- Interim service provides smart data repository only limits central development effort;
- No dependency on other Supplier or interim service post CoS;

Weaknesses

- Potentially need Site Visit Required to change SIM / configure meter additional costs and poor customer experience;-
- Suppliers do not have a single defined interface for communicating with all Smart meters this requires additional development effort;
- New interfaces required to smart data repository;
- Dependency on Suppliers to update data repository;
- Supplier development effort made redundant by DCC establishment;
- Suppliers may have increased cost HE purchase/support;
- Security keys/certificates needs to be passed between participants;

Opportunities

- Standardisation of registration look-up could be used by initial DCC Existing systems (e.g. ECOES, SGOES) could be used with changes to identify smart meters;
- Suppliers can choose the services they wish to support;
- Smart data captured in consistent form to provides basis for DCC transition;

Threats

- Poor customer experience on CoS may impact mass rollout;
- May need to be run in parallel with DCC if central service supports non-technically compliant smart meters and also any non-domestic meters supported by service and suppliers elect not to use DCC;
- PPM solution developed independently by each Supplier;
- No co-ordinated approach to security or data privacy;
- New services/processes would need to be defined to allow suppliers to fulfil mandated interim obligations;





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