Network companies can determine the appropriate formatting of this template as per their internal style guidance. We have included key headings and sub-headings aligned to the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document. A network company’s CRIDs should be as concise as possible, focusing on pertinent information that demonstrates the investment requirement.

**RIIO-3 NIS-R Cyber Resilience Investment Document (CRID) Template**

# Summary Table

|  |  |
| --- | --- |
| **Overview** | **Description** |
| **Programme / Project Name** | Working title of the Project including a unique reference that links back to CAF outcome e.g. A1.a – Cyber Security Board Training.  *This will be a meaningful name that relates to the investment proposed.* |
| **Programme / Project Scope** | High-level summary of the project scope.  *Provide a very high-level summary in this table of the project scope in no more than four sentences.* |
| **Primary CAF Principle** | e.g. A1. Governance |
| **Primary CAF Contributing Outcome** | e.g A1.a Board Direction |
| **Secondary CAF Contributing Outcomes** | e.g.A2.b Assurance; E1.a Governance and risk management processes relating to physical security risks |
| **Investment Category** | Defined Investment **or** Uncertain Investment |
| **Project Trigger / Driver** | e.g. NIS-R compliance, CAF outcome, cyber risk outside tolerance  *Provide a high-level overview in this table of the key project trigger / driver, where there are multiple triggers / drivers please list them here and explain in more detail later on. Where there are multiple triggers / drivers, the network company should indicate the primary trigger / driver here.* |
| **Inherent risk level** | Please provide an aggregated, qualitative assessment of this risk level as per the information contained in Appendix 1 e.g. *High.* |
| **Current risk level** | Please provide an aggregated, qualitative assessment of this risk level as per the information contained in Appendix 1 e.g. *Moderate.* |
| **Target risk level** | Please provide an aggregated, qualitative assessment of this risk level as per the information contained in Appendix 1 e.g. *Low.* |
| **Programme / Project Output(s)** | Please list the key output(s) to be delivered |
| **RIIO-3 Totex Cost (£m)** | This is the total cost to deliver the project |
| **Delivery Year and Key Milestones** | The year the project will be completed.  *If this is a staged project, please provide any interim key delivery milestones.* |
| **Wider Programme Interactions** | Please outline any interactions, direct and indirect, with other NIS-R cyber programmes of work or broader network company programmes of work.  *Provide a high-level summary here, more detail can be provided later on.* |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Spend Apportionment (£m)** | **Y1  26/27  (m)** | **Y2 27/28  (m)** | **Y3 28/29  (m)** | **Y4 29/30  (m)** | **Y5 30/31  (m)** |
|  |  |  |  |  |  |

# Introduction

* Succinct summary of the details contained within the main text of the document as listed below.
* Will address the following as a minimum:

1. Background to investment required.
2. Identification of primary driver(s).
3. Timing of investment.
4. Uncertainties anticipated to be experienced.
5. Key outputs and delivery year.

# Needs Case

## Overview

* Narrative to summarise the scope of the programme / project.
* See paragraphs 3.19 and 3.20 in Chapter 3 of the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document.

## Risk Assessment

* Alongside the provision of the NIS Self-Assessment risk assessment - completion of a high-level risk assessment summary table for each of the options considered and the preferred option (see Appendix 1 of this document).
* See paragraph 3.21 in Chapter 3 of the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document.

## Options Analysis

* Narrative to summarise the range of options identified, evaluated and assessed.
* See paragraph 3.23 in Chapter 3 of the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document.
* Must include the completion of a high-level option analysis summary table see Appendix 2 of this document (formatting at the network company discretion):

1. Cells may reference appendices where other information is contained.
2. CRID may include further appendices to support the submission, where pertinent and proportional to the funding request.

# Proposed Delivery

* See paragraph 3.25 in Chapter 3 of the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document.
* Previous funding overview (if any):

1. This includes any awarded funding applicable to assets aligned to or dependent on this CRID.
2. Includes status of delivery, including costs of these aligned or dependent projects.

# Cost Assessment

* See paragraphs 3.26 and 3.27 in Chapter 3 of the RIIO-3 NIS-R Cyber Resilience Business Plan Assessment Methodology and Requirements document.
* Must include the NIS-R Cyber Resilience Detailed Costs template to provide:

1. a breakdown of the baseline costs for the NIS Cyber Resilience Submission projects per year.
2. a breakdown of the capex and opex costs of the costs per year.
3. a breakdown of the capex and opex cost elements for each project including volume and unit costs.

* where agreed between a network company and Ofgem, the network company can customise the NIS-R Cyber Resilience Detailed Costs template.

# Conclusion

* Overview and summary

# Appendices

* NIS-R Cyber Resilience Detailed Costs (see excel template)
* Proposed PCD (see template in Appendix 3 of this document)
* Other Supporting Information (as required)

# Appendices – table formats for CRID

Appendix 1 - Programme / project Risk assessment summary table

Appendix 2 - Options analysis summary table

Appendix 3 - Price control deliverable summary table

## Appendix 1 - Programme / project risk assessment summary table

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Options** | **Risks** |  |  |  |  |  |  |  |  |  |  |  | **CAF Outcomes** |
|  | **R-01 – short risk description** | **R-02 – short risk description** | **R-03 – short risk description** | **R-04 - short risk description** | **R-05 - short risk description** | **R-06 - short risk description** | **R-0xx** | **R-0xx** | **R-0xx** | **R-0xx** | **R-0xx** | **R-0xx** |  |
| * **Preferred Option**   Project Name | **Applicable** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **Applicable** | **Applicable** | **Applicable** | **Applicable** | Append key CAF outcomes per project here |
| * **Option 1**   Project Name | **N/A** | **N/A** | **Applicable** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **Applicable** | Append key CAF outcomes per project here |
| * **Option 2**   Project Name | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | Append key CAF outcomes per project here |
| * **Option 3**   Project Name | **N/A** | **Applicable** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **Applicable** | **N/A** | Append key CAF outcomes per project here |
| * **Option 4**   Project Name | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | **Applicable** | **N/A** | **N/A** | **N/A** | **N/A** | **N/A** | Append key CAF outcomes per project here |
| * **Option 5**   Project Name | **Applicable** | **N/A** | **N/A** | **Applicable** | **N/A** | **N/A** | **N/A** | **Applicable** | **N/A** | **N/A** | **N/A** | **N/A** | Append key CAF outcomes per project here |
| * **Option 6**   Project Name | **N/A** | **N/A** | **N/A** | **N/A** | **Applicable** | **Applicable** | **N/A** | **N/A** | **N/A** | **Applicable** | **N/A** | **N/A** | Append key CAF outcomes per project here |

**Input your Impact / Likelihood Values Here for each of Inherent, Residual and Target for each Risk**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Risk Scores** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| * **Inherent** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| * **Current (Residual)** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| * **Target** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **Within Risk Appetite** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** | **Yes** |  |

**Notes:**

**[1]** – Risk Scores are Very High = (Range xx-xx), High = (xx-xx), Medium = (xx-xx), Low = (xx-xx), with the objective being to reduce the risk score to as low as reasonably practicable (ALARP).

**[2]** – Inherent = No controls applied, Residual = Controls applied but risks require further mitigation, Target = Controls from listed projects applied and risk target to be met.

**[3]** – Risk Appetite = Level of risk remaining is within the organisations appetite for risk. This is an assertion until the controls are fully implemented and an assessment of the control effectiveness confirms the target risk has been met before the risk is confirmed to be within the organisations risk appetite.

**[4]** – CAF Outcomes relate to the security outcomes a given project will achieve once it has been successfully delivered i.e., assessed as meeting the original security objectives.

## Appendix 2 - Options analysis summary table

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Options considered** | **Option Name** | **Option summary** | **Security controls impact** | **Resilience controls impact** | **Business impact** | **Risk mitigation impact** | **Time to deliver** | **Cost** | **Benefits** | **Volume of sites/Headcount required** | **Reasoning for decision** |
| **Preferred** |  |  |  |  |  |  |  |  |  |  |  |
| **Rejected 1** |  |  |  |  |  |  |  |  |  |  |  |
| **Rejected 2** |  |  |  |  |  |  |  |  |  |  |  |
| **Rejected 3** |  |  |  |  |  |  |  |  |  |  |  |

## Appendix 3 - Price control deliverable summary table

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **CAF Principle** | **Programme / project Area** | **Output** | **Delivery Date(s)** | **2026/27 allowance (£m)** | **2027/28 allowance (£m)** | **2028/29 allowance (£m)** | **2029/30 allowance (£m)** | **2030/31 allowance (£m)** | **Total allowance (£m)** |
| **Primary CAF Principle programme / project is aligned to** | **Programme / project reference and name** | **Control:**  xxx | **A. DD/MM/YYYY** | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | **0.00** |
| **Output:**  xxx |
| **Benefit:**  xxx |
|  |  |  | **Total** | **0.00** | **0.00** | **0.00** | **0.00** | **0.00** | **0.00** |